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(to be used for all correspondence after initial filing)

|                        |                   |
|------------------------|-------------------|
| Application Number     | 10/004465         |
| Filing Date            | November 1, 2001  |
| First Named Inventor   | Bruce A. Phillips |
| Group Art Unit         |                   |
| Examiner Name          |                   |
| Attorney Docket Number | 020366-083200US   |

Total Number of Pages in This Submission

## ENCLOSURES (check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input checked="" type="checkbox"/> Power of Attorney and Statement Under 37 C.F.R. §3.73(b)<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s)<br><br>Remarks | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interference<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Return Postcard<br><input type="checkbox"/> Other Enclosure(s) (please identify below): |
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| Firm and Individual name | Townsend and Townsend and Crew LLP<br>Chad S. Hilyard, Reg. No. 40,647 | RECEIVED<br>SEP 16 2002<br>Technology Center 2600 |
| Signature                |  |   |
| Date                     | 2/27/02  |   |

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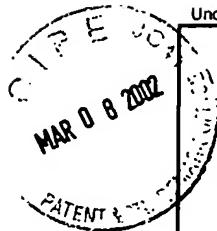
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|                        |   |
|------------------------|---|
| Application Number     | 10/004465                                 |
| Filing Date            | November 1, 2001                          |
| First Named Inventor   | Bruce A. Phillips                         |
| Title                  | HYBRID FIBER COAX<br>COMMUNICATION SYSTEM |
| Group Art Unit         |   |
| Examiner Name          |   |
| Attorney Docket Number | 020366-083200US                           |

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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

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**SIGNATURE of Applicant or Assignee of Record**

**Technology Center 2600**

|           |                 |
|-----------|-----------------|
| Name      | David Halvorson |
| Signature |                 |
| Date      | 5/27/02         |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of one form is submitted.

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Bruce A. Phillips

Application No./Patent No.: 10/004465

Filed/Issue Date: November 1, 2001

Entitled: HYBRID FIBER COAX COMMUNICATION SYSTEM

Qwest Communications International Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment will be recorded in the Patent and Trademark Office, for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Bruce A. Phillips

To: Qwest Communications International, Inc.

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

3/27/02  
Date

[Signature]  
Signature  
David Halvorson  
Typed or printed name  
Senior Attorney  
Title

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